

<u> 4HIR UNIVERD SHAYERS OF AMERICA</u>

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Wisconsin Alumni Research Toundation

III,CCCMS, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR PORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURPOSE, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE SE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT AS BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

OAT

'KAME'

In Testimonn Mucrost, I have hereunto set my hand and caused the seal of the Mant Enrich Protection Office to be affixed at the City of Washington, D.C. this twenty-ninth day of September, in the year two thousand and six.

Atlast:

Commissioner

Plant Variety Protection Office Agricultural Marketing Service crotary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

SCIENCE AND TECHNOLOGY - P	LANT VARIETY P	ROTECTION OFFICE	ine raperitorit redución ver (i 100 c	The Laplace Trouble 110 y by 1000.								
APPLICATION FOR PLANT VA (Instructions and information col			Application is required in order to deter (7 U.S.C. 2421). Information is held co	Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).								
1. NAME OF OWNER			2. TEMPORARY DESIGNATION OR	3. VARIETY NAME								
Wisconsin Alumni Research Four	ndation		EXPERIMENTAL NAME X8177-1	KAME								
4. ADDRESS (Street and No., or R.F.D. No., City,	State, and ZIP Co	de, and Country)	5. TELEPHONE (include area code)	FOR OFFICIAL USE ONLY								
614 North Walnut Street			(608) 263-2500	PVPO NUMBER								
P.O. Box 7365		ë	6. FAX (include area code)	 2006001 18								
Madison, WI 53707-7365			,	towners the state state that the same is seen,								
madeli, (1, 20, 10, 100)			(608) 263-1064	FILING DATE								
 IF THE OWNER NAMED IS NOT A "PERSON", ORGANIZATION (corporation, partnership, asso 		8. IF INCORPORATED, GIVE STATE OF INCORPORATION	9. DATE OF INCORPORATION									
Corporation	ciación, etc.)	WI	14 November 1925	Feb. 28, 2006								
]		F FILING AND EXAMINATION FEES:								
10. NAME AND ADDRESS OF OWNER REPRESE	ENTATIVE(S) TO S	SERVE IN THIS APPLICATION. (First	f person listed will receive all papers)	E . 4387.00								
Wm. Larry Alexander				R DATE Z/28/06								
Patterson, Thuente, Skaar & Christens	sen	•		C CERTIFICATION FEE:								
4800 IDS Center 80 South 8th Street												
Minneapolis, MN 55402-2100				1 1 ,								
17111110apolio, 17111 00 102 2100				D DATE 7/25/06								
11. TELEPHONE (Include area code)	12. FAX (Includ	•	13. E-MAIL									
(612) 349-5757 14. CROP KIND (Common Name)	(612) 349- 16. FAMILY N		alexander@ptslaw.com	18. DOES THE VARIETY CONTAIN ANY TRANSGENES? (OPTIONAL)								
Oat		,	18. DOES THE VARIETY CONTA	AIN ANY TRANSGENES? (OPTIONAL)								
15. GENUS AND SPECIES NAME OF CROP	Gramineae	; RIETY A FIRST GENERATION HYBR		ASSIGNED USDA-APHIS REFERENCE NUMBER FOR THE								
Avena Sativa	17. IS THE VAR	ØETY A FIRST GENERATION HYBR ☑ NO	APPROVED PETITION TO COMMERICALIZATION,	APPROVED PETITION TO DEREGULATE THE GENETICALLY MODIFIED PLANT FOR								
 CHECK APPROPRIATE BOX FOR EACH ATTA (Follow instructions on reverse) 	L ACHMENT SUBMI	TTED	20. DOES THE OWNER SPECIF OF CERTIFIED SEED? (See	20. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE SOLD AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act)								
a. Z Exhibit A. Origin and Breeding History	of the Variety			YES (If "yes", answer items 21 and 22 below) INO (If "no", go to item 23)								
b. Z Exhibit B. Statement of Distinctness			21. DOES THE OWNER SPECIF NUMBER OF CLASSES?	Y THAT SEED OF THIS VARIETY BE LIMITED AS TO								
c. Z Exhibit C. Objective Description of Vari	iety		☐ YES ☐ NO									
d. Z Exhibit D. Additional Description of the	Variety (Optional)		IF YES, WHICH CLASSES?	IF YES, WHICH CLASSES? ☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED								
e. Z Exhibit E. Statement of the Basis of the	e Owner's Owners	nip		22. DOES THE OWNER SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?								
f. Exhibit F. Declaration Regarding Depo	sit		☐ YES ☐ NO									
g. Voucher Sample (3,000 viable untreate that tissue culture will be deposited and	ed seeds or, for tub I maintained in an	ner propagated varieties, verification approved public repository)	IF YES, SPECIFY THE NUME	IF YES, SPECIFY THE NUMBER 1,2,3, etc. FOR EACH CLASS.								
g. Filing and Examination Fee (\$4,382), m States" (Mail to the Plant Variety Protect		reasurer of the United		FOUNDATION REGISTERED CERTIFIED (If additional explanation is necessary, please use the space indicated on the reverse.)								
23. HAS THE VARIETY (INCLUDING ANY HARVES	STED MATERIAL)	OR A HYBRID PRODUCED	24. IS THE VARIETY OR ANY CO	DMPONENT OF THE VARIETY PROTECTED BY								
FROM THIS VARIETY BEEN SOLD, DISPOSED OTHER COUNTRIES?	OF, TRANSFER	RED, OR USED IN THE U.S. OR		INTELLECTUAL PROPERTY RIGHT (PLANT BREEDER'S RIGHT OR PATENT)?								
✓ YES ☐ NO			☐ YES ☑ NO									
IF YES, YOU MUST PROVIDE THE DATE OF F FOR EACH COUNTRY AND THE CIRCUMSTA			IF YES, PLEASE GIVE COUN REFERENCE NUMBER. (Plea	TRY, DATE OF FILING OR ISSUANCE AND ASSIGNED ase use space indicated on reverse.)								
25. The owners declare that a viable sample of basi for a tuber propagated variety a tissue culture w	c seed of the varie ill be deposited in	ty has been furnished with application a public repository and maintained fo	n and will be replenished upon request in ac or the duration of the certificate.	ocordance with such regulations as may be applicable, or								
The undersigned owner(s) is(are) the owner of the entitled to protection under the provisions of Section (section).	his sexually reprod ion 42 of the Plant	uced or tuber propagated plant variet Variety Protection Act.	y, and believe(s) that the variety is new, dis	stinct, uniform, and stable as required in Section 42, and is								
Owner(s) is (are) informed that false representat	lion herein can jeo											
SIGNATURE OF OWNER			SIGNATURE OF OWNER									

NAME (Please print or type)

CAPACITY OR TITLE

(See reverse for instructions and information collection burden statement)

DATE

DATE

27 February 2006

Wm. Larry Alexander

Attorney for Owner

CAPACITY OR TITLE

200600118

GENERAL INSTRUCTIONS: To be effectively filed with the Plant Variety Protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed application form signed by the owner; (2) completed exhibits A, B, C, E, F; (3) for a tuber reproduced variety, verification that a viable (in the sense that it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository; and (4) payment by credit card or check drawn on a U.S. bank for \$4,382 (\$518 filling fee and \$3,864 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice). NEW: With the application for a seed reproduced variety or by direct deposit soon after filling, the applicant must provide at least 3,000 viable untreated seeds of the variety per se, and for a hybrid variety at least 3,000 untreated seeds of each line necessary to reproduce the variety. Partial applications will be held in the PVPO for not more than 90 days; then returned to the applicant as un-filed. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 401, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initialed and dated. DO NOT use masking materials to make corrections. If a certificate is allowed, you will be requested to send a payment by credit card or check payable to "Treasurer of the United States" in the amount of \$768 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

NOTES: It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. The fees for filing a change of address; owner's representative; ownership or assignment; or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

Plant Variety Protection Office

Telephone: (301) 504-5518

General E-mail: PVPOmail@usda.gov

Homepage: http://www.ams.usda.gov/science/pvpo/PVPindex.htm

FAX: (301) 504-5291

SPECIFIC INSTRUCTIONS:

To avoid conflict with other variety names in use, the applicant must check the appropriate recognized authority and **provide evidence** that the permanent name of the application variety (even if it is a parental, inbred line) has been cleared by the appropriate recognized authority before the Certificate of Protection is issued. For example, for agricultural and vegetable crops, contact: U.S. Department of Agriculture, Agricultural Marketing Service, Livestock and Seed Programs, **Seed Regulatory and Testing Branch**, 801 Summit Crossing Place, Suite C, Gastonia, North Carolina 28054-2193 Telephone: (704) 810-8870. http://www.ams.usda.gov/lsg/seed.htm.

ITEM

19a. Give:

- (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding method;
- (2) the details of subsequent stages of selection and multiplication;
- (3) evidence of uniformity and stability; and
- (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 19b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
 - (1) identify these varieties and state all differences objectively:
 - (2) attach replicated statistical data for characters expressed numerically and demonstrate that these are clear differences; and
 - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 19c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 19d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 19e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
- 20. If "Yes" is specified (seed of this variety be sold by variety name only, as a class of certified seed), the applicant MAY NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See Regulations and Rules of Practice, Section 97.103).
- 23. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
- 24. See Section 55 of the Act for instructions on claiming the benefit of an earlier filing date.
- 22. CONTINUED FROM FRONT (Please provide a statement as to the limitation and sequence of generations that may be certified.)
- 23. CONTINUED FROM FRONT (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

Sold foundation seed stocks to certified seed growers in March 2005, USA.

24. CONTINUED FROM FRONT (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 1.4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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Exhibit A Kame Oat Wisconsin

EXHIBIT A: ORIGIN AND BREEDING HISTORY OF THE VARIETY

KAME OAT (Wisconsin selection X8177-1)

The pedigree of Kame is:

Dane/Newdak/2/B605X

The pedigree and chronology of crosses is diagrammed and shown in Figure 1.

Kame was developed by workers in the Department of Agronomy, University of Wisconsin-Madison, Madison, Wisconsin. The breeding history of Kame is unique in that (1) one of the progenitors was a 6X amphiploid from a tetraploid x diploid interploidy cross, and (2) irradiation with thermal neutrons in 1968 was a key step in stabilizing genes for crown (leaf) rust resistance from the <u>Avena strigosa</u> progenitor.

The final cross, B605X/X6660-1, was made in the 1994 spring greenhouse. B605X was an experimental line from the oat program at Iowa State University, while X6660-1 was a Wisconsin experimental line that contained the 6X amphiploid and irradiated material mentioned above. Wisconsin line X6660-1 was derived from a cross between Newdak, a cultivar released by North Dakota State University, and Dane, a cultivar released here at the University of Wisconsin.

The pedigree method of plant breeding was strictly followed and the chronology of progeny generations resulting from the final cross, B605X/X6660-1, is listed below:

Final cross	1994 spring greenhouse
F ₁ plants	1994 field nursery row 18020
F ₂ population (10-ft. row)	1995 field nursery row 10069
F_3 line (5-ft. row)	1996 field nursery row 7227
F ₄ line (5-ft. row)	1997 field nursery row 5006
F ₅ line (5-ft. row)	1998 field nursery row 3731

Individual panicles were selected from generations F_2 through F_4 . All plants in the 1998 F_5 line (row) 3731 were cut and threshed in bulk. This population was tested as selection X8177-1, which ultimately became Kame.

X8177-1 (F ₆)	1999: Preliminary yield trial at Madison (triplicated early-maturity series)
X8177-1 (F ₇)	2000: Advanced to main Madison performance trial, Wisconsin statewide trials, and a small single plot increase (SPI)
X8177-1	2001: Continued in the Madison trial and the Wisconsin statewide trials, advanced to the drill plots (5 ft. x 40 ft. plots, four replications), and entered in the USDA Uniform Early Oat Performance Nursery (a large multi-state trial).
X8177-1	2002: Breeders Seed increase
X8177-1	2003:
X8177-1	2004: Foundation Seed production
X8177-1	2005: Certified seed production: named Kame

The primary selection criteria, in the F_2 population and in later generations, were resistance to crown (leaf) rust, productive agronomic traits such as yield, and high grain (kernel) quality as measured by test weight, groat percentage, and kernel and groat characteristics.

Traits that were closely monitored in all performance trials were grain yield, test weight, straw strength, maturity, response to diseases, especially crown rust and barley yellow dwarf virus (BYDV), and grain quality factors such as groat percentage and groat protein percentage. High yields, good test weights, good straw strength, and good resistance to crown rust, all characterized the performance of X8177-1 (Kame).

The field of Breeders Seed increase (2002) and the Foundation Seed production field (2004) were inspected repeatedly by foundation seed program field inspectors. Kame has demonstrated stability for all phenotypic and genotypic plant characteristics consistent with normal environmental influences.

The kernels of Kame are well filled, yellow in color, and nonfluorescent.

Kame has been uniform and stable, and has had no "variants" or "off-types" noted since the line was advanced to the main performance trial at Madison in 2000. At that time it was an F₇ and has since been grown an additional five generations through 2005.

The variety name "Kame" was checked with USDA, prior to naming and release, and it was indicated at that time that there were no known conflicts with other variety names.

Figure 1. Pedigree and chronology of crosses for Kame oat.

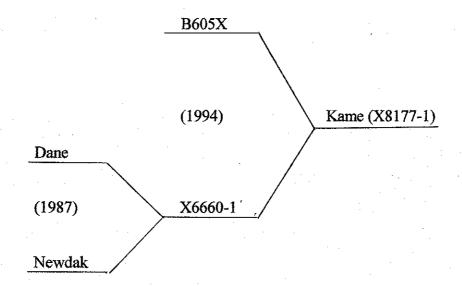


Exhibit B Kame Oat Wisconsin

EXHIBIT B: NOVELTY STATEMENT

Kame is most similar to the previously existing variety Moraine, as both are very similar in maturity. Kame differs from Moraine as follows:

Kame has been consistently, significantly shorter than Moraine as indicated in the height (inches) readings given in the table below. The data is taken from trials grown at the indicated locations in Wisconsin during 2003 and 2004.

2003 data:

	Arlington	Chilton	Lancaster	Madison	Marshfield
Moraine	49.5	38.0	42.8	43.5	34.0
<u>Kame</u>	43.5	34.5	39.8	39.8	29.8
Ht. diff.	6.5	3.5	3.0	3.7	5.2
Entries	24	34	34	64	34
Reps	4	4	4	4	4
L.S.D05	5 1.73	1.44	1.34	2.10	2.26
C.V. %	2.60	2.84	2.29	3.63	5.13

2004 data:

	Arlington	Madison	Marshfield
Moraine	55.0	48.3	50.0
<u>Kame</u>	<u>47.8</u>	<u>43.3</u>	44.3
Ht. diff.	7.2	5.0	5.7
Entries	24	64	34
Reps	4	4	4
L.S.D05	2.14	2.48	2.59
C.V. %	2.93	3.81	3.71

REPRODUCE LOCALLY, Include form number and date on all reproductions

Form Approved OMB NO 0581-0055

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid CMS control number. The valid CMS control number for this information collection is 051-0055. The time required to complete this information collection of information collection of information.

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U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY PLANT VARIETY PROTECTION OFFICE BELTSVILLE, MD 20705

Corrected Exhibit C

OBJECTIVE DESCRIPTION OF VARIETY Oat (Avena spp.)

NAME OF APPLICANT (S)	TEMPORARY OR EXPERIMENTAL DESIGNATION	VARIETY NAME				
Wisconsin Alumni Research Foundation		KAME (X8177-1)				
		FOR OFFICIAL USE ONLY				
ADDRESS (Street and No. or RD No., City, State, Dp Code, and Country	9	PVPO NUMBER				
614 North Walnut Street		I FEG POTENCE				
P.O. Box 7365, Madison, Wisconsin 53707-7365	5 					
	rietal character of this variety in the boxes below. Plac either 99 or less or 9 or less.	e a zero in the first box				
1. SPECIES:						
1 = Setiva 2 = Byzantine 3	B = Other (Specify)					
2. GROWTH HABIT:						
2. GROWTH HABIT.						
3 1 = Winter 2 = Semi-Winter	3 = Spring					
Juverille Growth: 1 = Prostrate	2 = Semi-Prostrate 3 = Erect					
3. MATURITY: (50% Flowering) 0 6 7 Number of days 0 6 No. Days Earlier Than Same as Check 0 3 No. of Days Later Than 3 Season: 1 = Very Ea 4 = Late (Later Check)	irly (Jaycee) 2 = Early (Nodaway 70) 3 = Midseason df) 5 = Very Late (Gerry) 6 = Extremely Late (Macki	(Clintford) naw)				
4. PLANT HEIGHT: (From Soil Level to Top of Hea	d)					
0 9 6 cm Tall						
cm Shorter Than • Equal to	Dane					
Same as Check *						
0 9 cm Taller Than + Belle						

^{*} Relative to a Commercial Variety Grown in the Same Trial

_		
5.	STEM:	Diameter: 1 = Fine (Kherson) 2 = Medium (Clintford) 3 = Coarse (Nodaway 70)
		Hairiness at Upper Culm Nodes: 1 = Hairless 2 = Hairy
	1	Mature Stem Color 1 = Yellow 2 = Reddish
6.	2 2 1 8 2	paf Color: The Royal Horticultural Society's or any recognized color chart should be used to determine the leaf color of the described riety.) Carriage: 1 = Drooping (Random) 2 = Erect (Walken) Color: 1 = Yellow Green 2 = Light Green 3 = Dark Green 4 = Blue Green mm Width (First leaf below flag leaf) Leaf Margin: 1 = Glabrous 2 = Clifate Ligule: 1 = Absent 2 = Present Leaf Sheath: 1 = Hairless 2 = Hairy
7.	HEAD:	
	П	Panicle Shape: 1 = Equilateral 2 = Intermediate 3 = Side Panicle (Unilateral)
	Ħ	Attachment of Lower Wherl of Branches: 1 = First Node 2 = Second Node (False Node)
	片	Panicle Size: 1 = Samil (Yancey) 2 = Medium (Walken) 3 = Large (Markton)
		Panicle Width: 1 = Narrow (Gopher) 2 = Midbroad (Yancy) 3 = Broad (Nedaway 70)
		trin Panible Length 2 6 Number of Branches 0 6 Number of Whorls of Branches
	긁	Position of Branches: 1 = Ascending (Yancey) 2 = Spreading (Cayuse) 3 = Drooping (Markton)
		4 = Pectinate (White Tarter) 5 = Confused (Storm King
8.	RACHIS:	
44	2	1 = Resurved (Yances) 2 = Erect (Stalken) 2 mm Second Fishet Rachillo Segment Langth
		Second Floret Rochilla Segment: 1 = Hairless Fochilla Hairs: 1 = Short: 2 = Long
	ш	Z = Hairs
9.	SPIKELE	T:
	3	Spikelet Saparation by: 1 = Absolocion
	1	Fisherit Separation by: 1= Disortisulation 2 = Heterofracture 3 = Boothracture
	2 4	Fioreto per Spikalet (Mean no.)
10.	GLUMES	: (Glume Color: The Royal Hortbultural Society's or any recognized polor chart should be used to determine the leaf color of the described variety.)
	0 8	mm Width 1 9 mm Langth 0 9 No. of Veinc on Glumbs 2 Color: 1 = White 2 = Yellses
		第二 内部的 4 年 漢句中級的
11.	LEMMA	· · · · · · · · · · · · · · · · · · ·
	1 4	described variety.)
		mm Langth
	1	Haldhess of Darsal Surface: 1 = Haldess
ৰ "	大俣はカンアリ	ont Elevati
1.6.	AWN: (FI	
		Secumence: 1 = Absent (*Falken) 2 Type: 1 = Non-triksted 2 = Type tasted 3 = Type tasted Geniculate
		3 = Common (Chilosca) 2 8 mm Arm Length 4 = Frequent (Ranslam)
		Y

13. SEED:									
2	Florescence Under Ultr	raviolet Light: 1 = Florescent	2 = Non-florescent						
1	Basal Hair:	1 = Absent (Florida 501) 4 = Several to Numerous (Florilea)	2 = Absent to Few (Yancey) 5 = Numerous (Red Rustpro						
- , -	mm Basal Hair Length								
3 2	gms per 1000 See	ods 2	mg Groat Weight (Each)						
•	% Groat Protein		% GroatOil						
14. INSECTS	5: (0 = Not Tested 1 = S	usceptible 2 = Resistant)							
	Cereal Leaf Beetle	·	Grain Bug (C. Sayi) 0 Nei	matode (Type)					
		0	,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
	Sigeri poố (brotkhe)		T cate (obecity)	ngg yang pang pangganan sa ang ang ang ang ang ang ang ang ang an					
15. DISEASE	E: (ŭ ≈ Not Tested 1 = Su	sceptible 2= Resistant)							
0 +	Hate Blight 0	Fowdery Mildew 0 Sept	oria Leaf Blotch 0 Soil-Bon	n e Mosaic					
0	telminthosporium 1			pecify)					
<u></u>	Leaf Blotch			L \$ 7. (Model concentrations were recommended assume that the same part of the specific specific					
_	Specify Races Tested:	Races Suscepti	ble	Races Resistant					
~	Crown Rust		Bulk Field C	Bulk Field Collection General to WI, MN and IA					
	Stem Rust								
	Govered Smut Bulk Collection General to MN and								
	Logase Smut Bulk Collection General to MIN and IL								
16. INDICA	TE THE VARIETY YOU I	BELIEVE MOST CLOSELY TO RESE	EMBLE THAT SLIBMITTED:						
(CHARACTER	VARIETY	CHARACTER	VARIETY					
Filant Tiller	ing	Moraine	L≘sf Goldr	Esker					
Leaf Bize		Vista	Leaf Carriage	Moraine					
Seed Calp	······	Dane	Seed Shape	Vista					

COMMENTS:

Exhibit D Kame Oat Wisconsin

EXHIBIT D: ADDITIONAL DESCRIPTION OF KAME

Kame is classified as <u>Avena sativa</u> L. Plants are short in height with leaves of medium length. The open, equilateral panicle is intermediate in length and panicle branches droop slightly at maturity. Spikelets separate from their pedicles by fracture, and florets separate by disarticulation of these rachilla segments. Lemma are glabrous and awns are infrequent. The caryopsis (groat) is retained in the lemma and palea, and grain color is yellow. Kame is of midseason maturity.

The intent to release request was made to the University of Wisconsin Agronomy Department and WCIA Seed Certification Committees in spring of 2005, and upon approval, the first seed was distributed to Certified growers in late March, 2005. Yield and agronomic data for 2002, 2003, and 2004 are summarized in Tables 1 and 2 in the intent to release letter.

Wisconsin Oat Test Line X8177-1

Wisconsin oat test line X8177-1 has a pedigree of B605X/2/Dane/Newdak. The final cross was made in the spring greenhouse at Madison in 1994. It has been tested statewide since 2000 and in the drill plot nursery since 2001. X8177-1 has also been an entry in the Uniform Early Oat Performance Nursery (UEOPN) in 2001, 2002, and 2003. This nursery is grown at many locations in the north central USA and measures range of adaptability over a wide growing area. X8177-1 has performed very well in those trials, placing amongst the leaders each year.

In statewide tests, X8177-1 has had consistently high grain yields, ranking highest of early-maturing lines, and even comparable with most midseason and late maturing lines. Test weights are similar to those of Vista and Ogle, while heading date is about 2+ days later than Dane, and just slightly earlier than Moraine. Plant height is similar to Dane and about 4-5 inches shorter than Moraine. Lodging is about equal to that of Belle. BYDV tolerance is comparable to that of Dane. Crown rust resistance is excellent.

X8177-1 was entered in the Minnesota statewide trials in 2003 and 2004 and performed well in an area not favorable to early maturing lines.

Breeders Seed was grown in 2002 and Foundation Seed in 2004.

The name "Kame" has been chosen but is still awaiting clearance and approval by USDA.

Grain yields (bu/a) for twelve oat varieties and Wisconsin test selection X8177-1 in trials at seven Wisconsin locations in 2002, 2003, and 2004. Table 1.

															÷			
7-loc.	19	122.9	113.6	111.3	128.6	127.1	112.8	108.6	111.3	116.6	113.0	114.7	114.2	112.8			116.0	2.9
6-loc. mean	16	112.7	104.7	101.0	120.4	117.4	104,9	8.66	101.4	109.2	104.8	107.9	107.5	105.0			107.4	3.2
Chilton	т	97.3	101.0	266	109.0	103.6	90.7	93.9	96.2	95.0	98.1	96.2	91.5	89.2			97.1	2.7
Sturgeon Bay	8	108.9	99.1	95.1	124.0	117.5	108.4	96.2	92.2	118.9	93.0	107.6	101.1	109.9		. 1	105.6	10.1
Spooner	7	74.2	70.0	67.1	91.0	6.9	75.1	64.1	9.79	78.3	72.6	79.4	78.8	74.6			74.5	8.7
Marsh- field	m	107.3	8.98	92.5	110.7	109.7	7.86	88.3	95.0	100.5	97.0	107.0	101.6	8.86	-		99.6	۷٠/
Lan- caster	7	137.7	131.5	115.9	142.8	137.1	116.0	118.5	115.8	128.9	130.6	125.5	135.9	121.8		i č	C./21	4.4
Madison	'n	146.1	137.2	129.3	143.0	152.7	134.1	132.3	135.3	130.0	135.4	128.0	135.7	131.2		· · · · · · · · · · · · · · · · · · ·	130.2	0.0
Arl Drill Plots	m	177.2	161.2	166.1	172.2	178.7	155.0	155.7	164.2	156.2	156.9	151.2	150.2	154.4			C.101	4.0
Variety	No. of tests	X8177-1	Belle	Dane	Drumlin	Esker	Gem	Jim	Moraine	Ogle	Richard	Sesqui	Vista	Wabasha			Iviean	L.S.DU3

Table 2. Grain yield, agronomic, and disease characteristics for twelve oat varieties and Wisconsin test selection X8177-1 in multiple statewide tests during 2002, 2003 and 2004.

•		* a													
Crown rust 0-100	44 as 8	≃	×	S/I	8	24	8	H	~	S/I	~	:	24	X	
BYDV 0-9	ĸ	6.7	5.0	6.7	2.8	5.3	4.2	4.8	5.0	4.3	4.3	3.8	5.8	3.8	8.8
 Lodging 0-100	9	28:8	30.0	34.7	46.2	39.1	44.5	36.6	44.8	39.0	28.9	43.4	36.5	32.5	37.3
ir. ir.	19	37.7	40.4	37.8	39.8	39.1	40.5	39.8	42.2	39.3	43.9	40.7	42.5	41.1	40.4
Head	16	6/25.0	6.0/2	6/22.2	6/28.7	6/26.0	6/27.4	6/24.7	6/25.3	8/56.8	6/27.2	7/0.3	6/28.8	6/27.8	6/27.0
Test wt. lbs/bu	19	35.6	37.3	36.2	36.9	36.7	36.5	37.8	36.7	35.3	35.7	36.7	35.4	36.5	36.4
Yield bu/a	19	122.9	113.6	1111.3	128.6	127.1	112.8	108.6	111.3	116.6	113.0	114.7	114.2	112.8	116.0
Variety or Selection	No. of tests	X8177-1	Belle	Dane	Drumlin	Esker	Gem	Jim	Moraine	· Ogle	Richard	Sesqui	Vista	Wabasha	Mean

REPRODUCE EXPONENT, include form reimber and edition date on all	repreductors.	ORM APPROVED - OMB No. 0561-0055						
U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE EXHIBIT E	Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2424). The information is not certificate is issued (7 U.S.C. 2426).							
STATEMENT OF THE BASIS OF OWNERSHIP 1. NAME OF APPLICANT(S)								
• •	2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME						
Wisconsin Alumni Research Foundation	X8177-1	KAME						
4. ADDRESS (tolerand to, to 4.5 to 4th, Cay, Dark, and 109, and County)	5. TELEPHONE (protects along pages)	S. FAX (reciside area zonis)						
614 North Walnut Street	(608) 263-2500 (608) 263-1064							
P.O. Box 7365	7. PVPO NUMBER							
Madison, WI 53707-7365	200	600118						
 8. Does the applicant own attrights to the variety? Mark an "X" in the 9. Is the applicant (individual or company) a U.S. national or a U.S. 5 		L. Wee						
		PERHITY. V FES MAN						
10. is the applicant the original owner? YES	NO If no, please answer <u>pric</u>	of the following:						
a. If the original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. National(s)? YES NO If no, give name of country								
b. If the original rights to variety were owned by a company(ies),	NO If no, give name of countr	y						
11. Addžional explanation on ownership (Trace ownership from origin	an evereer to current supper, Ose mene	verze погежте врасе и пеесесу:						
PLEASE NOTE:								
Plant variety protection can only be afforded to the owners (not accuse	ees) who meet the following criteria:							
 If the rights to the variety are owned by the original breeder, that per national of a country which affords similar prefection to nationals of 	erson must be a U.S. national, national of the U.S. for the same genus and specia	of a UPOV member country, or es.						
If the rights to the variety are owned by the company which employ nationals of a UPOV member country, or owned by nationals of a ci- genus and species.	ed the original breeder(s), the company ourly which affords similar protection t	must be U.S. based, exmed by a nationals of the U.S. for the same						
3. If the applicant is an owner who is not the original owner, both the o	original owner and the applicant must m	set one of the above onlens.						
The original breeder/owner may be the individual or company who de Act for definitions.								
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WISCONSIN ALUMNI RESEARCH FOUNDATION MEMORANDUM AGREEMENT

This Memorandum Agreement is entered into by and between each of the persons executing this Agreement below as an Inventor, or Author in the case of copyrightable materials, (hereinafter referred to collectively as "Inventor") and the Wisconsin Alumni Research Foundation (hereinafter referred to as "WARF"), a nonstock, nonprofit Wisconsin corporation.

WHEREAS, at least one of said persons referred to here in as Inventor is currently, or at the time of the creation of the Technology (referred to below), was associated with the University of Wisconsin-Madison (hereinafter referred to as the "University") as a faculty or staff member, employee, student or otherwise;

WHEREAS, WARF is the patent management agency of the University and as such is designated by the University to accept ownership of the Technology transferred to it because of Inventor's association with the University at the time the Technology was created;

NOW, THEREFORE, in consideration of the mutual promises set forth herein and other good and valuable consideration, the sufficiency of which is hereby acknowledged, the parties agree as follows:

Section 1. <u>Declaration</u>.

Inventor declares that Inventor has invented, discovered, written, or otherwise created, either solely or jointly with others, certain technology identified by WARF Ref. No. P05168US KAME OAT VARIETY. The technology, including any patentable, copyrightable or trademarkable subject matter contained therein, related biological or other materials or compounds, germplasm, know-how, drawings, supporting writings and records, computer software (including both object code and source code versions thereof), materials useful for design (for example, logic manuals, flow charts, and principles of operation), and the like created jointly or solely by Inventor shall be hereinafter referred to collectively as the "Technology."

Section 2. Warranties.

Inventor declares that Inventor has provided an accurate, complete and full disclosure of all information with respect to all aspects of the Technology of which Inventor is aware. Inventor further declares that no conflict exists with regard to the rights of third parties (e.g. entities with which Inventor has consulting agreements, sponsored research agreements, material transfer agreements, etc.) which would in any way restrict Inventor's ability to claim and to transfer to WARF all of Inventor's right, title, and interest in the Technology.

Section 3. Assignment.

Inventor hereby assigns to WARF all of Inventor's right, title, and interest in the Technology. Inventor agrees to execute any memoranda of assignment or other documents that WARF deems to be necessary or useful for recording purposes or otherwise establish and/or verify WARF's rights under the terms of this assignment. Inventor further agrees to provide, at WARF's request, samples of physical materials and copies of drawings, supporting writings and records, and computer software (including source code) useful or necessary to protect and practice the Technology.

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Section 4. Consideration.

- A. Patent, Copyright or Other Property Rights. With respect to the Technology, WARF agrees to prepare, file, and prosecute such patent applications, applications for registration of copyright or trademark, plant variety protection or breeder's rights, or other intellectual property rights as WARF in its sole discretion deems prudent (hereinafter collectively referred to as the "Intellectual Property Rights"). WARF further agrees to exert such reasonable efforts to promote the Technology, whether by granting patent, trademark or copyright licenses or by pursuing commercialization through confidential disclosure arrangements or otherwise, as WARF may deem appropriate. WARF shall bear all of the expense WARF incurs in its attempts to obtain the Intellectual Property Rights and/or to license or otherwise generate income from the Technology. Inventor agrees to cooperate in the preparation and prosecution of any application for Intellectual Property Rights filed by or on behalf of WARF and in any litigation or other proceedings involving any such Intellectual Property Rights. If approved in advance, WARF will defray reasonable out-of-pocket travel, living and sundry expenses incurred by Inventor for such activities.
- В. Licensing and other Royalty Revenues. WARF agrees to pay to Inventor, and any joint inventors of the Technology who also assign the Technology to WARF, a total of twenty percent (20%) of the Net Royalty Revenues realized by WARF under the terms of any license under the Technology granted by WARF or from the sale of the Technology or any part thereof. "Net Royalty Revenues" shall be deemed to consist of monies actually received by WARF as a royalty under each agreement governing the sale or license of the Technology (e.g., as license fees, earned royalties or other cash proceeds from the sale of equity received by WARF in exchange for rights under the Technology) less: (1) any ordinary revenue deductions, such as taxes or royalty sharing payments made to third parties; and (2) any expenditures made by WARF in proceedings with third parties, such as interference proceedings, oppositions, reexamination proceedings (unless initiated by WARF independent of a third party challenge to a patent), litigation, and arbitrations and payments made in settlement of any such proceedings. Net Royalty Revenues shall not include sponsored research funds provided to the University by a Licensee, or reimbursements for domestic and foreign filing fees, maintenance fees and other patent, trademark or copyright expenses paid to WARF for the cost of filing, prosecuting, and maintaining domestic and foreign Intellectual Property Rights. Expenditures as described in (2) above incurred in any given year may be carried over by WARF and applied against money actually received by WARF in subsequent years until such expenditures are fully reimbursed. Money actually recovered by WARF with respect to any proceedings with third parties, which are not reimbursed expenses, shall be included in Net Royalty Revenues and shared when received by WARF, in the same way as royalties for a license granted under the Technology. If WARF receives equity in a licensee entity under the terms of a license agreement, such equity shall be held in WARF's name until such time as WARF, in its sole discretion, shall determine that the shares should be redeemed or sold. Such amounts shall then be added to Net Royalty Revenues shared with the Inventor. Payments due to the Inventor shall be allocated and made in the manner set forth in Section 5 herein.

Section 5. Allocation of Income and Payment.

A. Allocation. In the event WARF owns and licenses or sells more than one Intellectual Property Right with respect to the Technology, and/or licenses multiple technologies in the same contract, WARF shall have the right to allocate a proportion of any Net Royalty Revenues generated by such license to each separate Intellectual Property Right and/or technology. In any such case, all of the Inventors in the aggregate shall be considered a single entity for distribution of Net Royalty Revenues under Section 4B. Absent contrary, unanimous instructions in writing from the Inventor and any such

joint inventors, and subject to WARF's right to allocate a proportion of Net Royalty Revenues to each separate Intellectual Property Right and/or technology, the distribution of Net Royalty Revenues under Section 4B shall be divided equally among the Inventors.

B. Payment. Inventor is responsible for notifying WARF of his or her current address and for updating that information, as appropriate. WARF shall have discharged its obligations to any named Inventor if it directs payments to the Inventor at the last address provided to WARF by Inventor. However, if payment is not deliverable to the last address provided, WARF shall contact the chairperson, director or other head of the Inventor's department to attempt to obtain a current address for Inventor. If WARF is unable to obtain a current address by contacting Inventor's department, payments not deliverable will be held by WARF and be available to the Inventor, if claimed within one (1) year of the date of WARF's letter transmitting the original check. After one (1) year, uncashed checks from WARF to Inventor shall become void and WARF's payment obligation to Inventor shall be discharged. In the event that the Inventor contacts WARF with a current address after a period in which WARF had no current address for the Inventor, WARF shall resume paying royalties in the next calendar quarter but WARF is under no obligation to pay royalties for prior calendar quarters during which WARF had no current address for the Inventor. Any monies not paid out by WARF to Inventor will be paid to the department or center with which the Inventor was associated at the time the Technology was developed.

Section 6. <u>Improvements</u>.

Inventor agrees to disclose promptly and to assign to WARF all Improvements of any aspect of the Technology conceived of or made by Inventor as part of Inventor's activity as a faculty or staff member, employee, student or through other association with the University, either solely or jointly with another, while Inventor is still associated with the University and for six months thereafter. "Improvements" as used herein shall refer to all additional technology not a part of the Technology if such additional technology requires the use of any of the claims of any patent or patent application that covers all or any part of the Technology or constitutes a Derivative Work of a writing that forms a part of the Technology, together with any technology the practice of which is necessary to the commercial practice of the Technology, as defined above, including but not limited to patentable subject matter, biological materials, know-how, drawings, supporting writings and records, computer software (including source code), and the like. "Derivative Work" as used herein shall refer to any alteration, correction, modification, update, revision or enhancement of the Technology that corrects errors, supports new releases or operating systems, improves operability, supports new models or input/output devices with which the Technology is designed to operate, substantially improves functionality, or basic capability or otherwise updates the content of the Technology.

Section 7. <u>Technology Use by Inventor</u>.

- A. Continued Research. WARF and Inventor desire that Inventor and persons under Inventor's supervision, or working cooperatively with Inventor, shall be free to continue research in the field of the Technology. Therefore, nothing in this Agreement shall be deemed to restrict Inventor's right to use all or any part of the Technology for research and/or educational purposes, whether alone or in concert with another person or organization. No license to use the Technology for commercial purposes by the Inventor or the Inventor's sponsors is hereby granted either expressly or by implication.
- **B.** Publication. Nothing in this Agreement, shall be deemed to restrict Inventor's right to publish all or any part of Inventor's past, present, or future research results. However, Inventor agrees to

promptly notify WARF of all past publications disclosing the Technology or Improvements and to use Inventor's best efforts to notify WARF of all future publications disclosing the Technology or Improvements as far in advance of their publication as possible.

Section 8. Miscellaneous.

The parties hereto have executed this Agreement on the dates indicated below in witness of the obligations and rights set forth above. This Agreement shall inure to the benefit of and be binding upon the heirs, personal representatives, successors, and assigns of each of the parties. A party's interest in this Agreement may be assigned provided that the personal obligations to WARF of Inventor and any other inventor, author, other creator or contributor of and to the Technology shall continue without change. This Agreement shall be effective between WARF and each Inventor when both of them have executed this Agreement where indicated, below, without regard to the dates of signing of other Inventors.

IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement.

WISCONSIN ALUMNI RESEARCH FOUNDATION

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Title: Director of Intellectual Property

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Inventor Signature

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